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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 05 2004



Before the Application of: Takahiro Nakajima, et al.

Group Art Unit: 1713

Application No.: 10/049,438

Examiner: Rip A. Lee

Filed: May 30, 2002

Docket No.: 11197/7

For: A POLYESTER POLYMERIZATION CATALYST,
POLYESTER PRODUCED BY USING THE SAME, AND A
PROCESS FOR PRODUCING POLYESTER

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The paragraphs marked below (x) are applicable. It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

1. This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a final action, Notice of Allowance, or any action that otherwise closes prosecution.

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

c. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. 37 CFR §1.97(c)(2).

3. This Information Disclosure Statement is being filed after the mailing date of a final action, Notice of Allowance or an action that otherwise closes prosecution, but before payment of the Issue Fee. Applicant(s) hereby request(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 11-0600 in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

4. Relevance of the non-English language reference(s) is discussed in the present specification.

5. Some of the references were cited in counterpart foreign applications. English language versions of the following foreign Office Actions are enclosed for the Examiner's information: EPO Office Action, Appln. No. 00 955 009.6-2102 of August 19, 2003, and EPO Office Action, Appln. No. 00 955 009.6-2102 of January 30, 2003.

6. A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix attached hereto.

7. The Examiner's attention is directed to co-pending U.S. Patent Application No. _____, filed, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

8. This application is one of a series of related applications, identified in the attached Appendix, which are directed to related technical subject matter. The identification of those U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during the examination.

9. The reference(s) was/were cited by or submitted to the Office in parent application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

10. English-language Abstracts of the non-English language references are attached hereto.

11. Since this application was filed after June 30, 2003, copies of U.S. references are not included.

12. Other. Some of the references were cited in the enclosed International Search Reports of PCT/JP00/05728; European Search Report No. 00 95 5009 of October 14, 2002.

Respectfully submitted,

KENYON & KENYON



W. David Wallace
Reg. No. 42,210

Date: May 5, 2004

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	10/049,438
Filing Date	May 30, 2002
First Named Inventor	Takahiro Nakajima, et al.
Examiner Name	Rip A. Lee
Art Unit	1713
Attorney Docket No.	11197/7

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Other None
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11-0600

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 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
SUBTOTAL (1)		(\$ 0)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20 **	= 0	x = 0
Independent Claims	-3 **	= 0	x = 0
Multiple Dependent		x = 0	

Large Entity	Small Entity	Fee Description	
Fee Code	Fee (\$)	Fee Code	
1202	18	2202	9
1201	86	2201	43
1203	290	2203	145
1204	86	2204	43
1205	18	2205	9
SUBTOTAL (2)		(\$ 0)	

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
Other fee (specify)		Submission of Corrected Translation	180.00
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$ 180.00)

SUBMITTED BY					
Name (Print/Type)	W. David Wallace	Registration No. (Attorney/Agent)	42,210	Telephone	202-220-4415
Signature				Date	May 5, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of 2

Complete if Known

Application Number	10/049,438
Filing Date	May 30, 2002
First Named Inventor	T. Nakajima, et al.
Art Unit	1713
Examiner Name	Rip A. Lee
Attorney Docket Number	11197/7

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	5,554,720	09-10-1996	Weaver et al.	Corresponds to CN 1175266
	2	5,237,042	08-17-1993	Kim et al.	Corresponds to CN 1067662
	3	5,782,935	07-21-1998	Hirt et al.	Corresponds to CN 1154728
	4	5,512,340	04-30-1996	Goodley	Corresponds to CN 1220677
	5	5,596,069	01-21-1997	Goodley	
	6	5,652,033	07-29-1997	Goodley	
	7	5,674,801	10-07-1997	George	
	8	5,744,572	04-28-1998	Schumann et al.	
	9	5,770,682	06-23-1998	Ohara et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Column s, Lines, Where Relevant Passag es or Relevant Figures Appear	T ⁶
	10	CN 1153185	07-02-1997	ANSHAN IRON & STEEL ENFRF COLL (CN)		ABS
	11	WO00/24804	05-04-2000	TOYO BOSEKI KABUSHIKI KAISHA [JP/JP]		ABS
	12	WO98/42769	10-01-1998	TORAY IND INC [JP/JP]		ABS
	13	JP 10324741	12-08-1998	TORAY IND INC		ABS
	14	EP 0 626 402 A3	11-30-1994	AMOCO CORPORATION		ABS
	15	JP 2001-26639	01-30-2001	TOYOBKO KK (TOYM)		ABS
	16	JP 46-40711	12-01-1971	UNITIKA LTD (NIRA)		ABS
	17	JP 5-287064	11-02-1993	TORAY IND INC		ABS
	18	JP 2001-131274	05-15-2001	NATL INST OF ADVANCED INDUSTRIAL SCIENCE & TECHNOLOGY METI;;MASUDA TAKASHI;; NAKAYAMA KAZUO		ABS
	19	JP 9-151242	06-10-1997	SHIMADZU CORP		ABS
	20	JP 9-151243	06-10-1997	SHIMADZU CORP		ABS
	21	JP 9-151244	06-10-1997	SHIMADZU CORP		ABS
	22	WO 03/004547A2	06-17-2002	GLOBAL TECHNOLOGIES INC		
	23	JP 60-035023	03-15-1984	KURARAY CO LTD		FULL TEXT
	24	JP 61-101527	05-20-1984	TORAY IND INC		FULL TEXT

Examiner Signature

Date Considered



NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Examiner	Date Considered		
Signature			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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